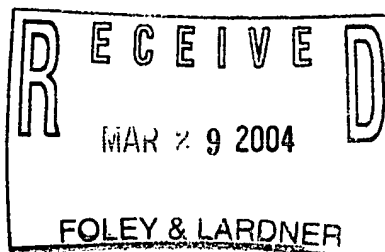


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| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/736,399         | 12/15/2003             | David N. Voth         | 037607-0159<br>234     |

34099  
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777 EAST WISCONSIN AVENUE  
MILWAUKEE, WI 53202-5367



CONFIRMATION NO. 6170

FORMALITIES LETTER



\*OC000000012174866\*

Date Mailed: 03/24/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.

*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

M-HAILE

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



8-26-04

IFW  
S

Atty. Dkt. No. 037607-0234

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Voth et al.

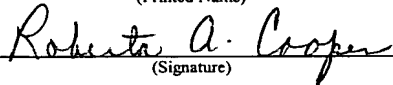
Title: SYSTEMS AND METHODS FOR  
FACILITATING THE FLOW OF  
CAPITAL THROUGH THE  
HOUSING FINANCE INDUSTRY

Appl. No.: 10/736,399

Filing Date: 12/15/2003

Examiner: To be determined

Art Unit: 3624

|  |                   |
|--|-------------------|
| <b>CERTIFICATE OF EXPRESS MAILING</b>  |                   |
| I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. |                   |
| EV 505572967 US  | 08/24/04          |
| (Express Mail Label Number)  | (Date of Deposit) |
| Roberta A. Cooper  |                   |
| (Printed Name)   |                   |
|    |                   |
| (Signature)  |                   |

**TRANSMITTAL OF MISSING PARTS**  
**OF PATENT APPLICATION**

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Notice to File Missing Parts of Application mailed March 24, 2004, in the above-identified application, transmitted herewith are the missing parts to complete the filing of the subject patent application.

Enclosed are:

- [ X ] Return Copy of Notice to File Missing Parts (1 page).
- [ X ] Declaration and Power of Attorney (12 pages).
- [ X ] Supplemental Application Data Sheet (7 pages).

08/27/2004 CNGUYEN 00000063 10736399

01 FC:1253

950.00 OP

☒ Applicant hereby petitions for an extension of time under 37 C.F.R. §1.136(a) for the total number of months checked below:

- ☐ Extension for response filed within the first month  
☐ Extension for response filed within the second month  
☒ Extension for response filed within the third month  
☐ Extension for response filed within the fourth month  
☐ Extension for response filed within the fifth month

The filing fee is calculated below:

|  | Claims<br>as Filed |   | Included<br>in<br>Basic Fee |   | Extra Claims |   | Rate           |   | Fee<br>Totals |
|--|--------------------|---|-----------------------------|---|--------------|---|----------------|---|---------------|
| Basic Fee  |                    |   |                             |   |              |   | \$770.00       |   | \$770.00      |
| Total  | 55                 | - | 20                          | = | 35           | x | \$18.00        | = | \$630.00      |
| Claims:  |                    |   |                             |   |              |   |                |   |               |
| Independent  | 6                  | - | 3                           | = | 3            | x | \$86.00        | = | \$258.00      |
| ents:  |                    |   |                             |   |              |   |                |   |               |
| If any Multiple Dependent Claim(s) present:  |                    |   |                             |   |              | + | \$290.00       | = | \$0.00        |
| Surcharge under 37 CFR 1.16(e) for late filing of Executed Declaration                       |                    |   |                             |   |              | + | \$130.00       | = | \$130.00      |
| <input checked="" type="checkbox"/> Extension fee for response filed within the third month: |                    |   |                             |   |              | + | \$950.00       | = | \$950.00      |
|  |                    |   |                             |   |              |   | SUBTOTAL:      | = | \$2,738.00    |
| <input type="checkbox"/> Small Entity Fees Apply (subtract ½ of above):                      |                    |   |                             |   |              |   |                | = | \$0.00        |
|  |                    |   |                             |   |              |   | TOTAL FEE PAID | = | \$1,788.00    |
|  |                    |   |                             |   |              |   | TOTAL FEE      | = | \$950.00      |

☒ Check in the amount of \$950.00 in payment of three-month extension of time.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date 8/24/04

FOLEY & LARDNER LLP  
Customer Number: 34099  
Telephone: (414) 297-5531  
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By Jean M. Tibbetts

Jean M. Tibbetts  
Attorney for Applicant  
Registration No. 43,193